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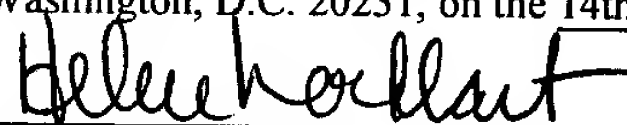
ATTORNEY'S DOCKET NO. C1102/7002(HCL)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Newell et al.
Serial No.: 09/823,886
Filed: March 30, 2001
For: COMPOSITIONS AND METHODS FOR REGULATING METABOLISM IN PLANTS
Examiner: Unassigned
Art Unit: 1616

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on the 14th day of August, 2001.


Helen C. Lockhart

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Sir:

Transmitted herewith are the following document(s):

- ☒ Copy of Notice to File Missing Parts of Nonprovisional Application
- ☒ Declaration for Patent Application
- ☒ Fee Calculation Sheet (X2)
- ☒ One Month Extension of Time
- ☒ Six (6) Sheets of Formal Drawings
- ☒ Statement Pursuant to 37 CFR 1.821(f)
- ☒ Hard Copy of Sequence Listing
- ☒ Computer Readable Disk
- ☒ Check in the Amount of \$1,049.00
- ☒ Certificate of Mailing
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check in the amount of \$1,049.00 is enclosed. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,



Helen C. Lockhart, Reg. No. 39,248
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
(617) 720-3500

Docket No. C1102/7002(HCL)
Date: August 14, 2001
X08/14/01



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3-12-03

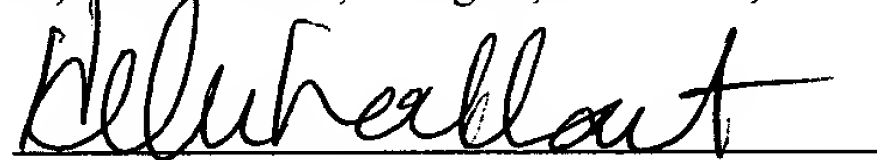
ATTORNEY'S DOCKET NO. C01102.70002.US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Newell et al.
Serial No: 09/823,886
Filed: March 30, 2001
For: COMPOSITIONS AND METHODS FOR REGULATING METABOLISM IN PLANTS
Examiner: Unassigned
Art Unit: 1616

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202, on the 4th day of April, 2003.


Helen C. Lockhart

U.S. Patent and Trademark Office
Box Sequence
P.O. Box 2327
Arlington, VA 22202

Sir:

Transmitted herewith is/are the following document(s):

- ☒ Duplicate copy of Replacement Sequence Listing diskette originally filed on August 14, 2001;
- ☒ Certificate of Mailing; and
- ☒ Return Receipt Postcard.

This duplicate diskette is being filed pursuant to the request of Marian Hines at the Patent and Trademark Office due to the misplacement of the previously-filed diskette. We have not filed a Statement or a paper copy of the Sequence Listing, as we were informed that the PTO does have copies of those documents.

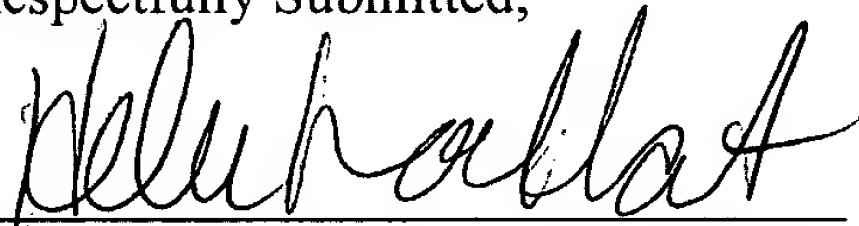
If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

USSN: 09/823,886

Page 2

No check is enclosed. If a fee is required, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully Submitted,



Helen C. Lockhart, Reg. No. 39,248
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Docket No. C01102.70002.US
Date: April 4, 2003
XNDD

#7

Attorney Docket No. C1102/7002(HCL)

Inventor(s): Newell et al.

Serial No: 09/823,886

Filed: March 30, 2001

CHECK BOX, if applicable:

For: COMPOSITIONS AND METHODS FOR REGULATING METABOLISM
IN PLANTS

☐ DUPLICATE



Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	51-20=	31x	\$ 18	= \$ 558.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	7-3=	4 x	\$ 80	= \$ 320.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$270.00	= \$ 270.00
				BASIC FEE (37 CFR 1.16(a))	\$ 710.00
	Fee for Petition for Extension of Time (One Month) (if any)				\$ 110.00
	Total of above Calculations =				\$ 1,968.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$ 984.00
	Assignment Recordation Fee (if any)				\$
	Other Fees (Missing Parts Fee) (if any).				\$ 65.00
	TOTAL =				\$ 1,049.00

1. A check in the amount of \$1,049.00 is enclosed.

General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒ 1.16 ☒ 1.17 or ☐ 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

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Docket No. C1102/7002(HCL)
Date: August 14, 2001
X08/14/01

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Helen C. Lockhart, Reg. No. 39,248
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Attorneys of Record

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Page 1 of 2

#5

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/823,886	03/30/2001	Martha K. Newell	C1102/7002

08/21/2001 TGEDAHU1 00000060 09823886

CONFIRMATION NO. 7558

Helen C. Lockhart, Esq.
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210

01 FC:201
02 FC:202
03 FC:203
04 FC:205
05 FC:204

355.00 OP
160.00 OP
279.00 OP
65.00 OP
135.00 OP

FORMALITIES LETTER



OC000000006070568

Date Mailed: 05/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/17/2001 TGEDAHU1 00000060 09823886

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Adjustment date: 08/21/2001 TGEDAHU1
08/17/2001 TGEDAHU1 00000060 09823886

01 FC:201
02 FC:202
03 FC:203
04 FC:205
05 FC:204

355.00 OP
160.00 OP
279.00 OP
65.00 OP
135.00 OP

01 FC:201
02 FC:202
03 FC:203
04 FC:205
05 FC:204

-355.00 OP
-160.00 OP
-279.00 OP
-65.00 OP
-135.00 OP

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1148.
 - \$558 for 31 total claims over 20.
 - \$320 for 4 independent claims over 3 .
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1988.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE